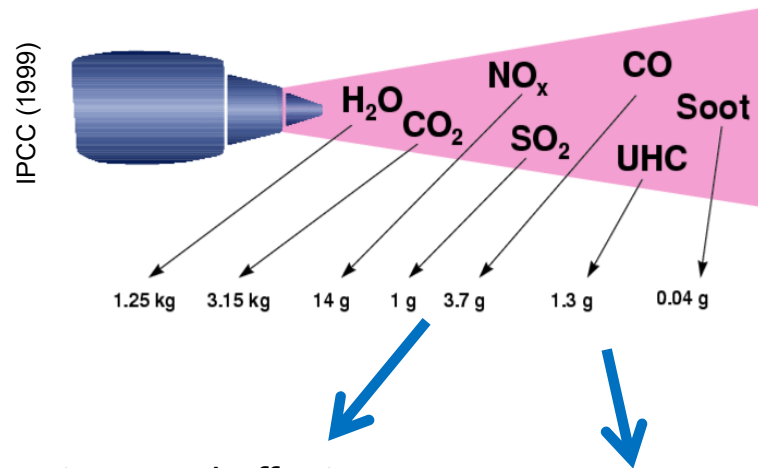


# SCENARIO ASSESSMENTS, MITIGATION OPTIONS AND NON-CO<sub>2</sub> EFFECTS IN REGULATIONS

Volker Grewe & colleagues  
Institut für Physik der Atmosphäre  
DLR-Oberpfaffenhofen



# Climate Effects of Aviation Emissions

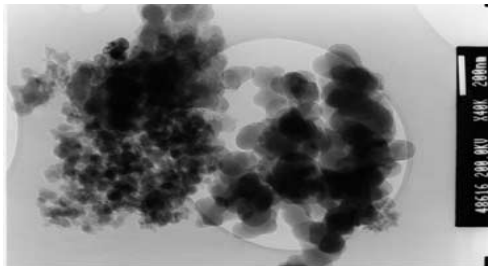


Direct greenhouse gases  
CO<sub>2</sub> and H<sub>2</sub>O



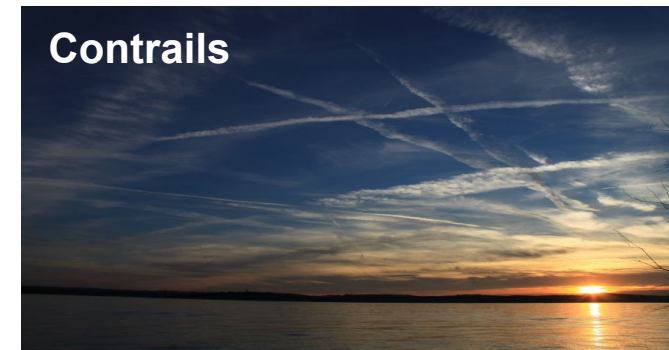
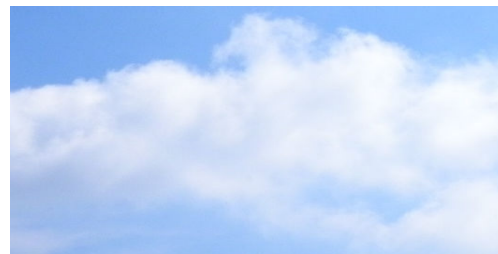
Indirect greenhouse gas chemistry:  
NO<sub>x</sub>  
↓  
Ozone and Methane

Direct aerosol effect



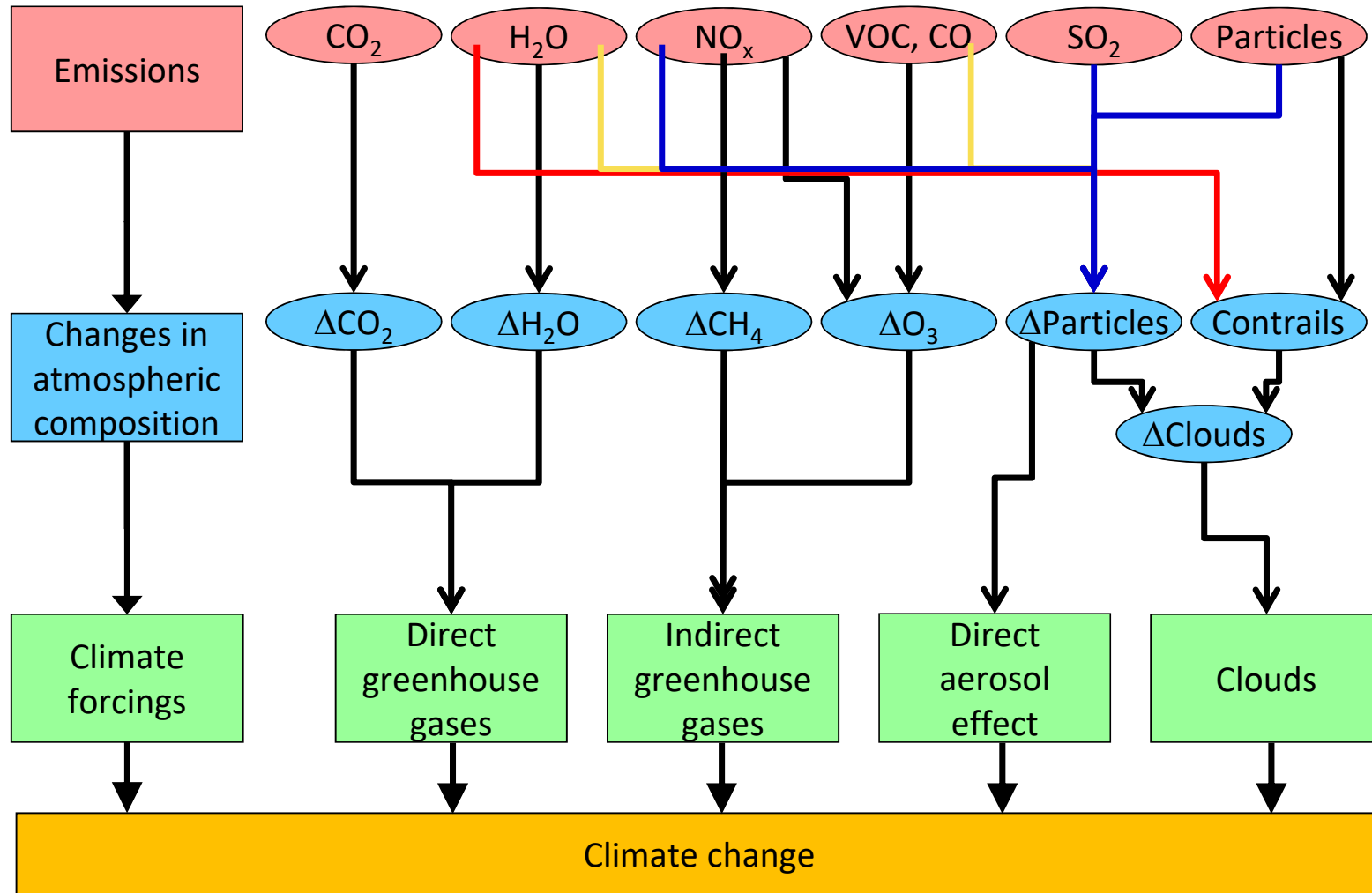
Popovicheva et al. (2004)

Aerosol effects on clouds



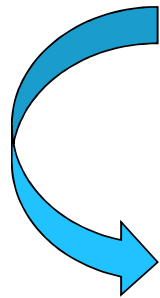
Contrails

# Atmospheric effects of aviation

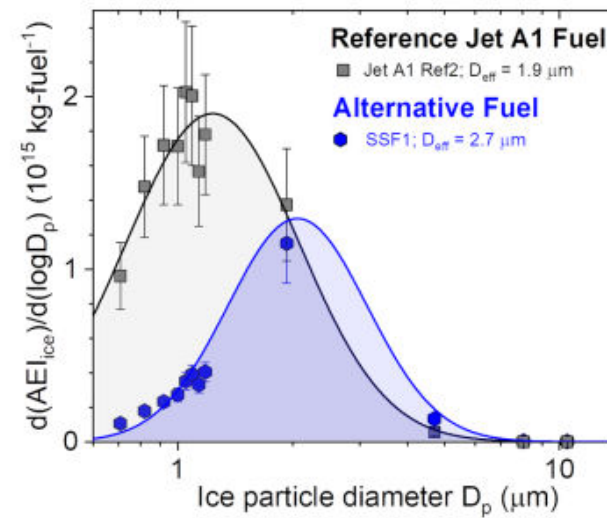
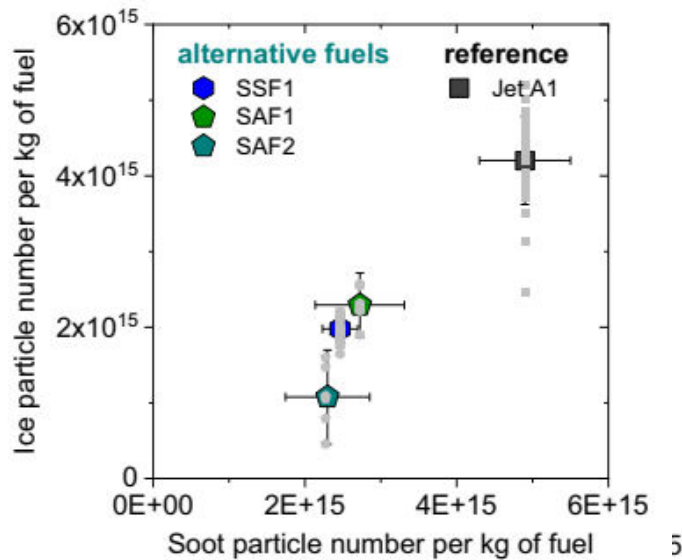


# Sustainable Alternative Fuels (SAF)

ECLIF/ND-MAX Measurement Campaign



Reduction in number of soot particles and ice particles by 50-70%



SAF:  
Less CO<sub>2</sub>  
Less Contrail  
Climate Impacts

Less and larger ice particles

Voigt et al. 2021

# How important are the aviation non-CO<sub>2</sub>-effects?



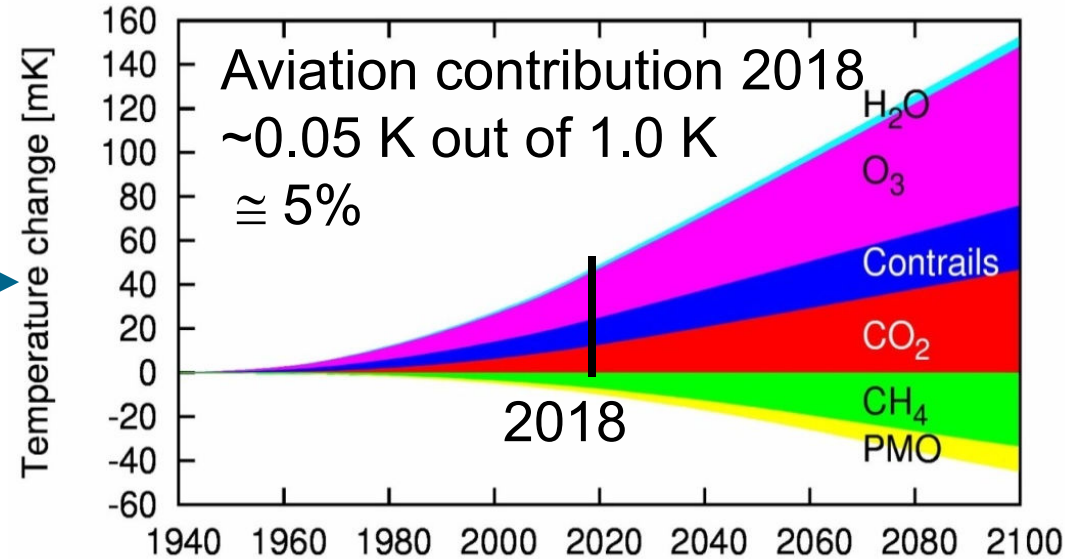
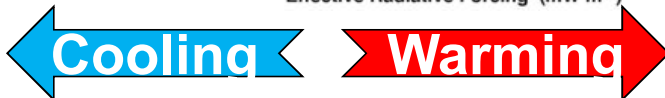
## Radiation change

## Temperature change

Global Aviation Effective Radiative Forcing (ERF) Terms (1940 to 2018)

	ERF (mW m <sup>-2</sup> )	RF (mW m <sup>-2</sup> )	ERF/RF	Conf. levels
Contrail cirrus in high-humidity regions	57.4 (17, 98)	111.4 (33, 189)	0.42	Low
Carbon dioxide (CO <sub>2</sub> ) emissions	34.3 (28, 40)	34.3 (31, 38)	1.0	High
Nitrogen oxide (NO <sub>x</sub> ) emissions				
Short-term ozone increase	49.3 (32, 76)	36.0 (23, 56)	1.37	Med.
Long-term ozone decrease	-10.6 (-20, -7.4)	-9.0 (-17, -6.3)	1.18	Low
Methane decrease	-21.2 (-40, -15)	-17.9 (-34, -13)	1.18	Med.
Stratospheric water vapor decrease	-3.2 (-6.0, -2.2)	-2.7 (-5.0, -1.9)	1.18	Low
Net for NO <sub>x</sub> emissions	17.5 (0.6, 29)	8.2 (-4.8, 16)	---	Low
Water vapor emissions in the stratosphere	2.0 (0.8, 3.2)	2.0 (0.8, 3.2)	[1]	Med.
Aerosol-radiation interactions				
-from soot emissions	0.94 (0.1, 4.0)	0.94 (0.1, 4.0)	[1]	Low
-from sulfur emissions	-7.4 (-19, -2.6)	-7.4 (-19, -2.6)	[1]	Low
Aerosol-cloud interactions				
-from sulfur emissions	No best estimates	No best estimates	---	Very low
-from soot emissions	No best estimates	No best estimates	---	Very low
Net aviation (Non-CO <sub>2</sub> terms)	66.6 (21, 111)	114.8 (35, 194)	---	---
Net aviation (All terms)	100.9 (55, 145)	149.1 (70, 229)	---	---

Lee et al. 2021

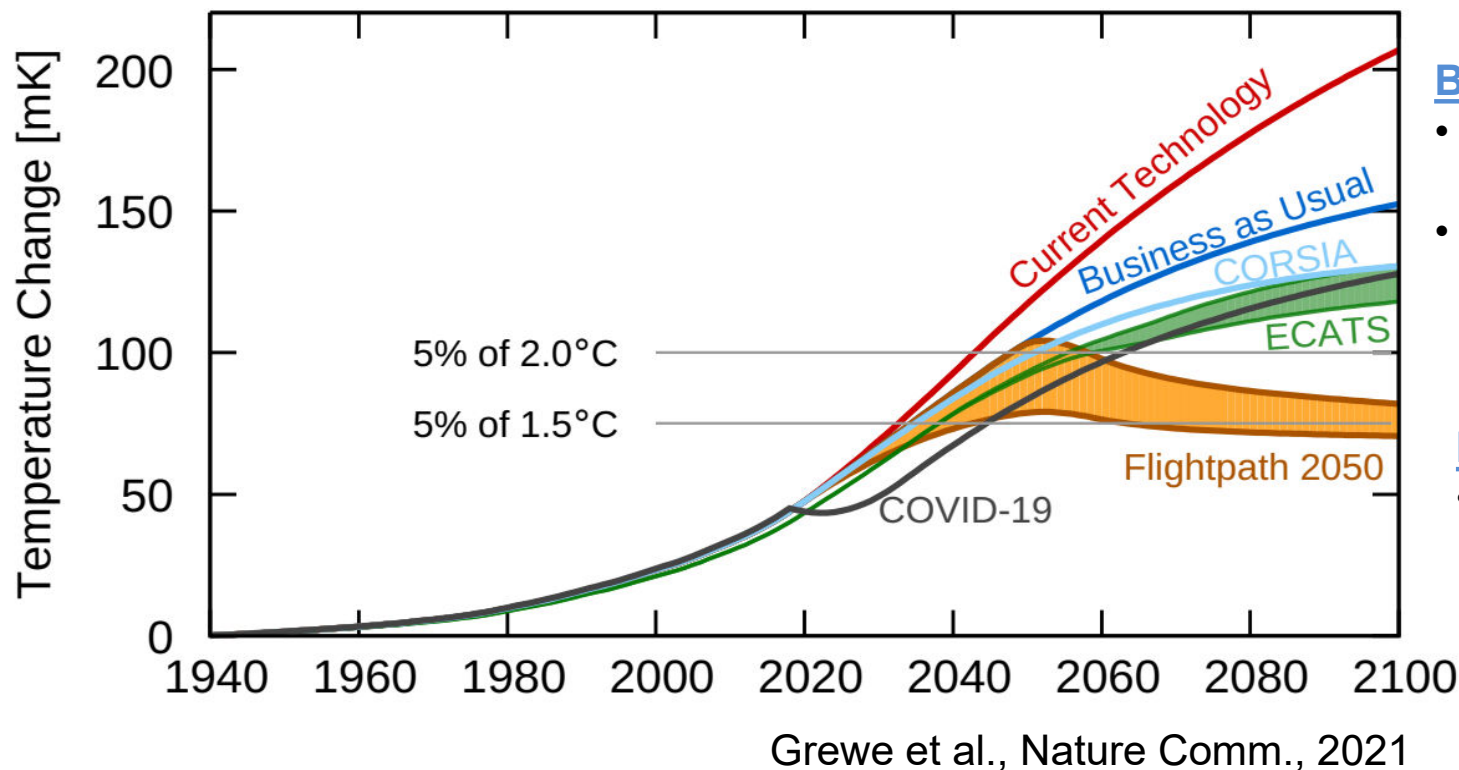


More than 50% of the aviation's climate impact results from non-CO<sub>2</sub> effects

# Climate impact of future aviation scenarios (CO<sub>2</sub> and non-CO<sub>2</sub> effects)



Relating top-level aviation targets (CORSA, Flightpath2050) to the Paris Agreement



## BAU / CORSIA

- No stabilisation of the climate impact
- Largely surpassing 5% of 1.5°C and 2.0°C

## Flightpath 2050

- stabilisation of the climate impact between 5% of 1.5 and 2.0°C

## ECATS

- Bottom-up scenario, including state-of-the-art technologies, will not meet Flightpath 2050 targets.

- Climate-neutral aviation (whatever that is) has to include non-CO<sub>2</sub> effects
- Without a drastic change (e.g. H<sub>2</sub> / large amount of SAF, etc.) the climate impact of aviation will further increase

# When do we surpass a 5% climate goal?

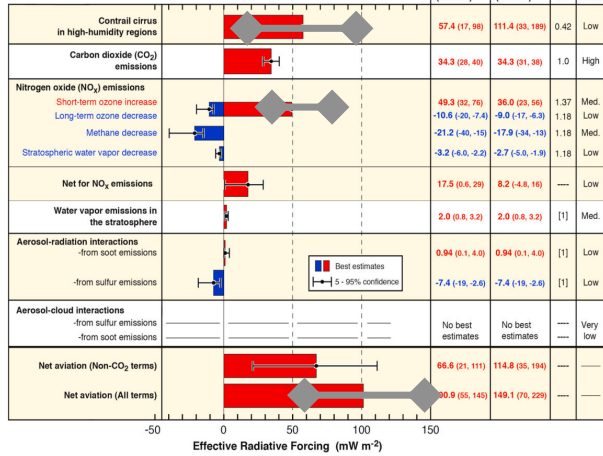


## The role of atmospheric uncertainties

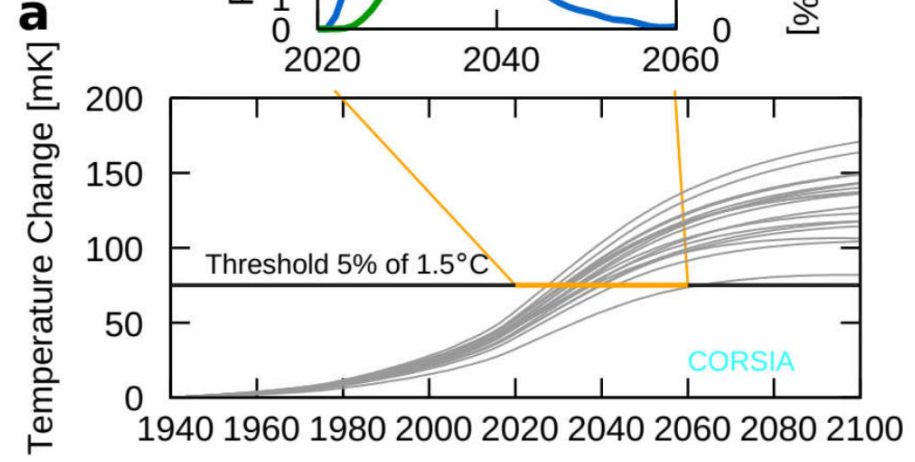
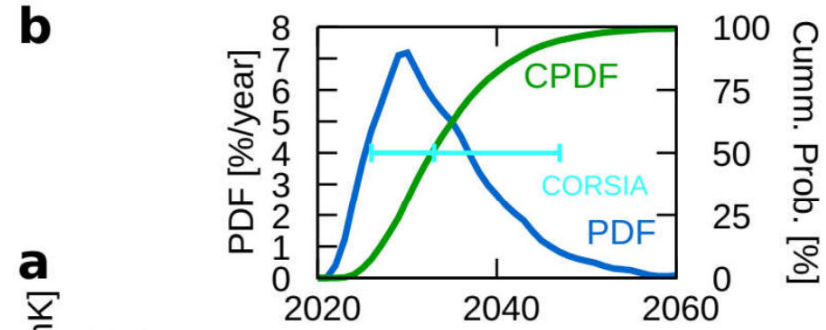
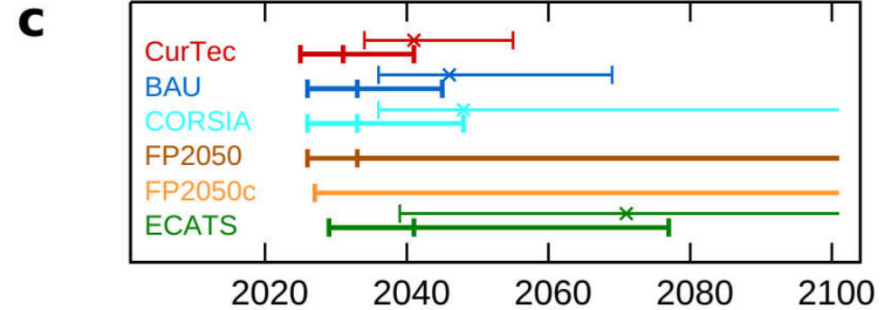
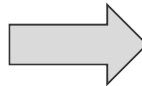
### Uncertainties:

- Emission
- Transport pathways
- Atmospheric response / lifetime
- Radiation effects
- Temperature impacts

Global Aviation Effective Radiative Forcing (ERF) Terms (1940 to 2018)



Monte-Carlo Simulation



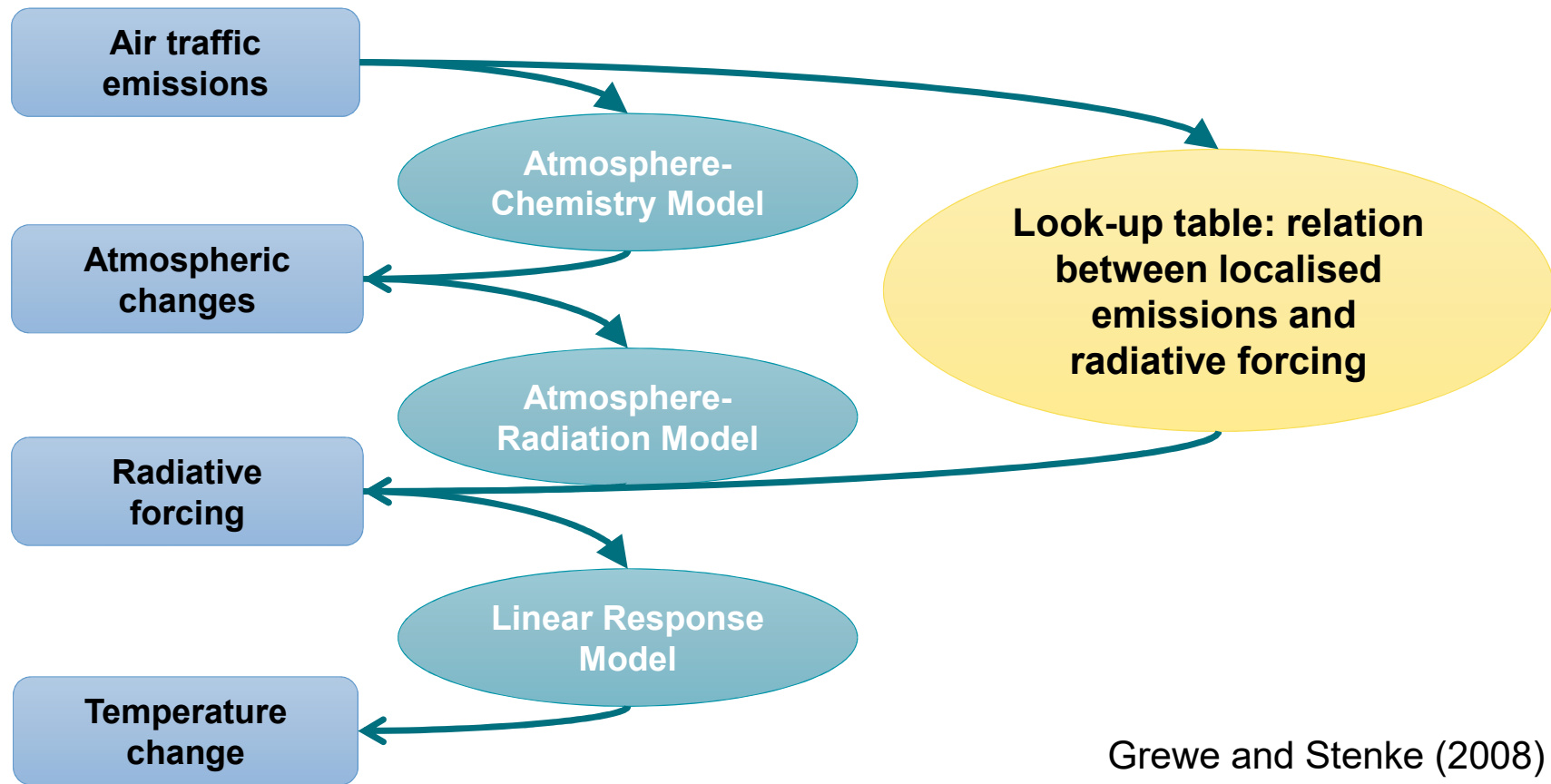
Grewe et al. 2021

# The non-linear climate response model AirClim - Basic idea



“Traditional approach”

“AirClim”



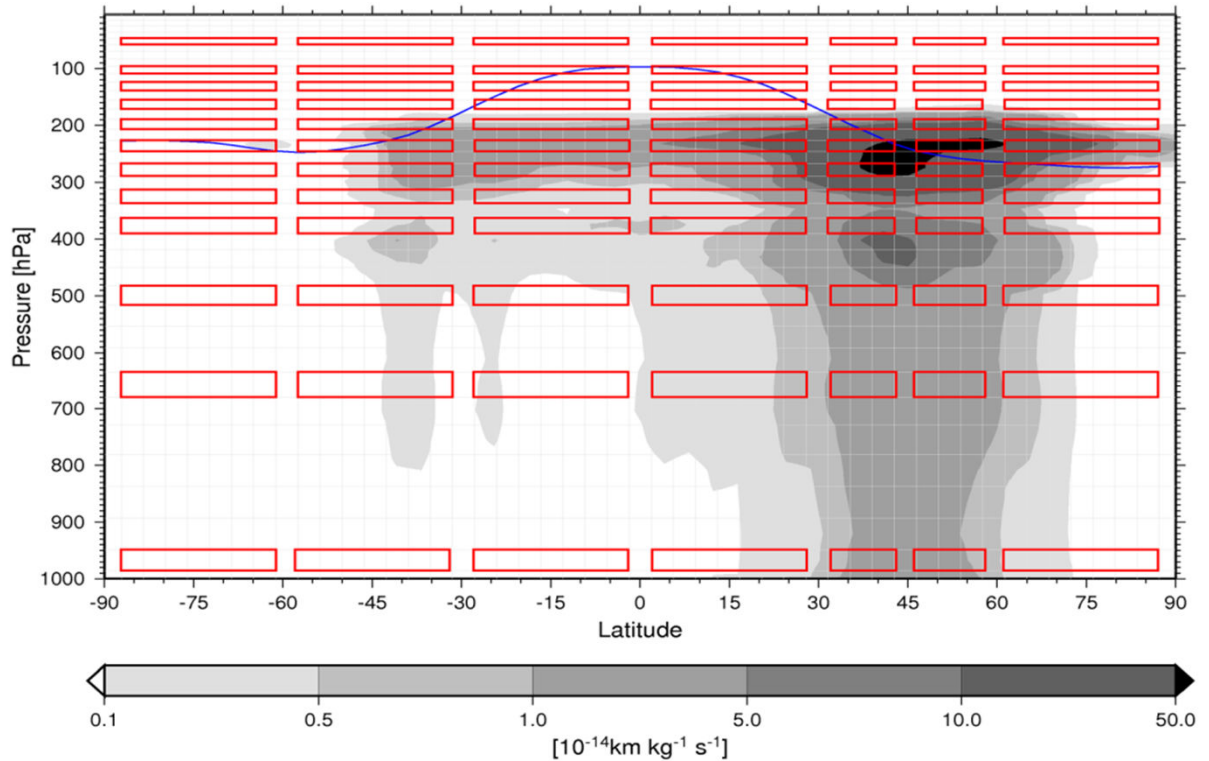
Grewe and Stenke (2008)  
Dahlmann et al. (2016)



# Emission locations for precalculated look-up table: subsonic case

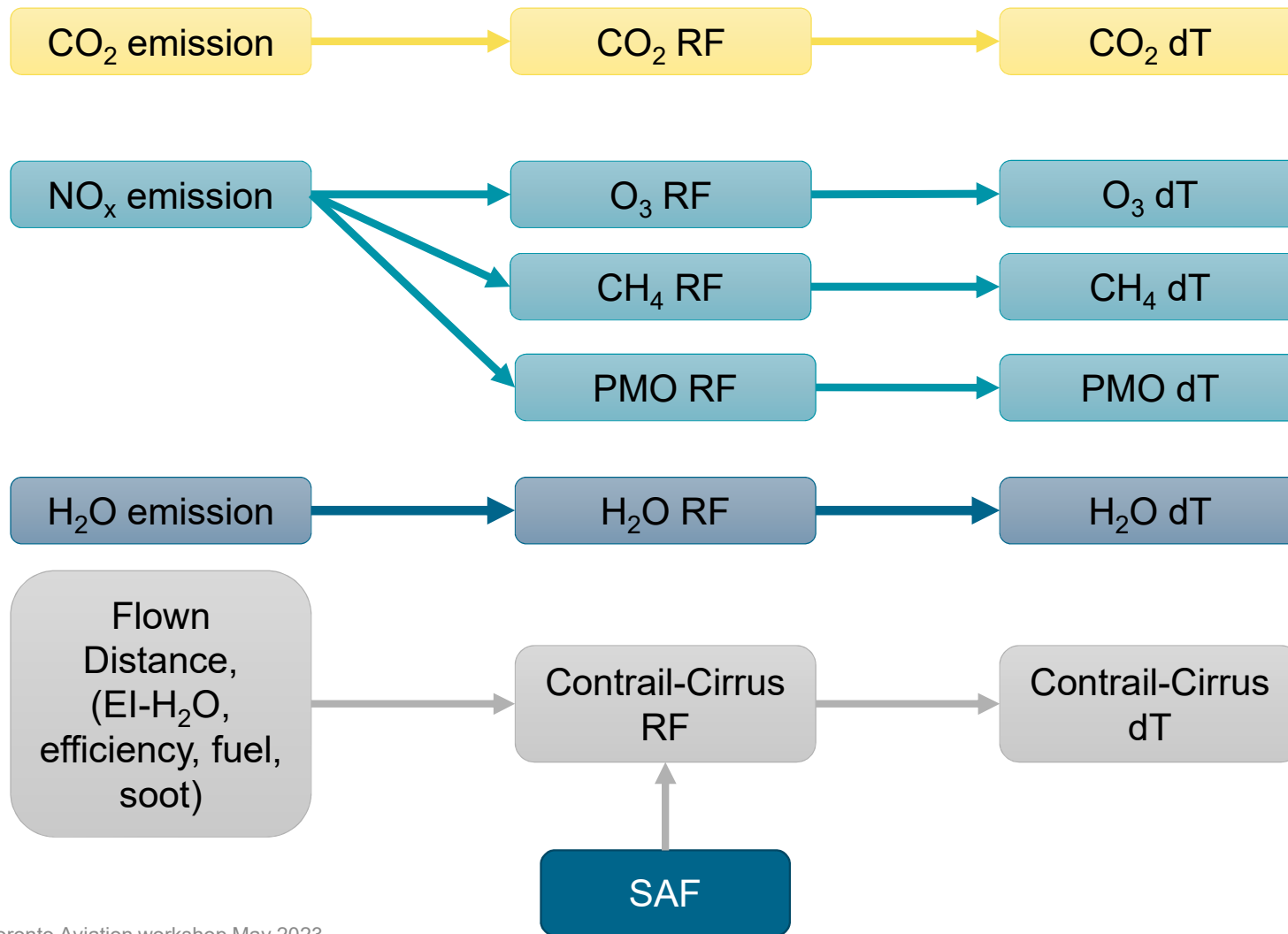


- 7 Latitudes
- 12 altitudes
- = 85 Simulations

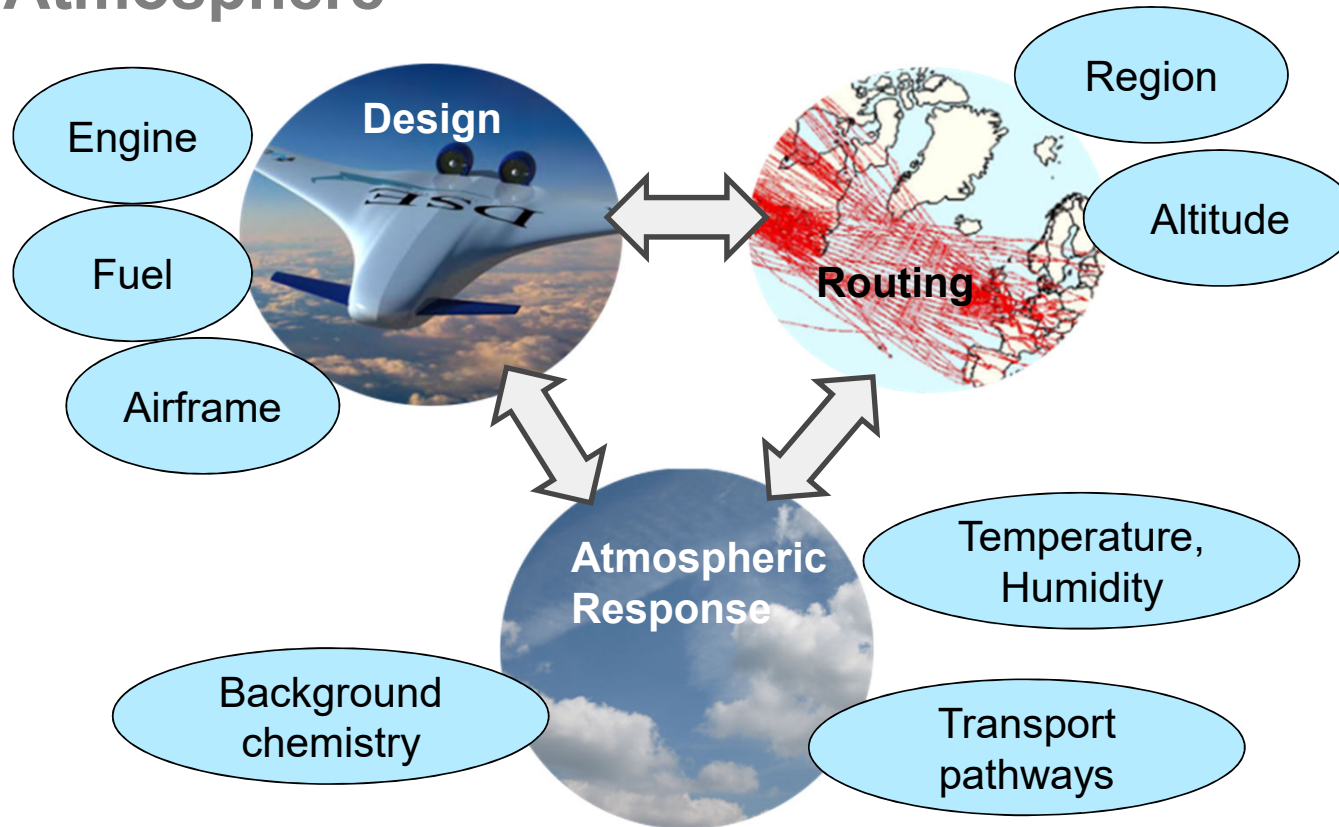


Fichter (2009)  
Dahlmann et al. (2016)

# Processes / Species



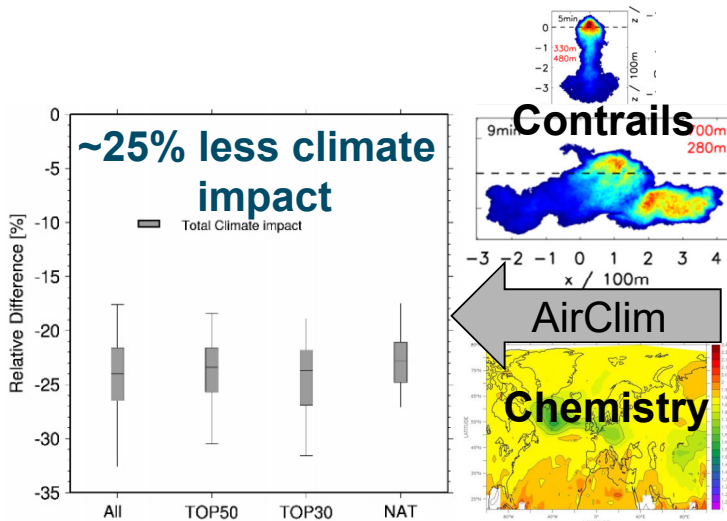
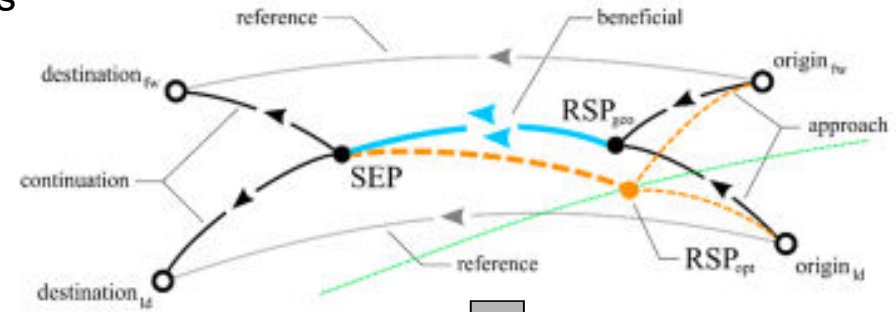
# Aviation climate impact is a combination of Design – Routing – Atmosphere



# Climate Impact of Formation Flights

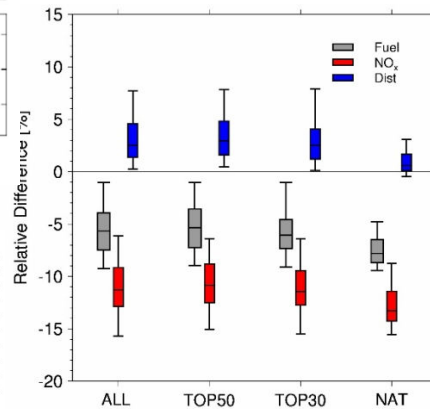


Analysis which routes can be linked for formation flights



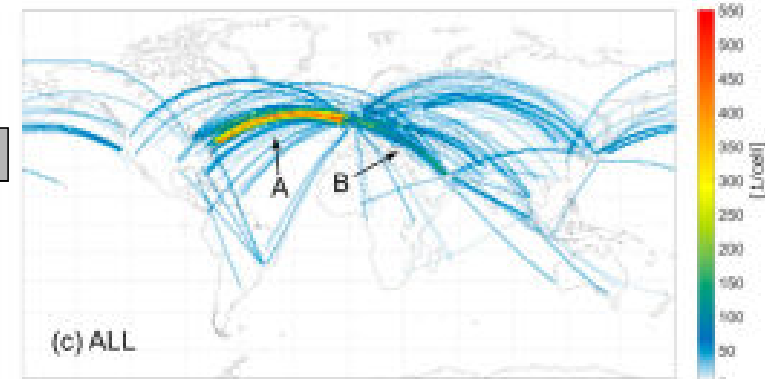
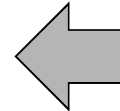
Dahlmann et al. 2020

~3% more flown km



~5% less fuel  
10-15% less NO<sub>x</sub>

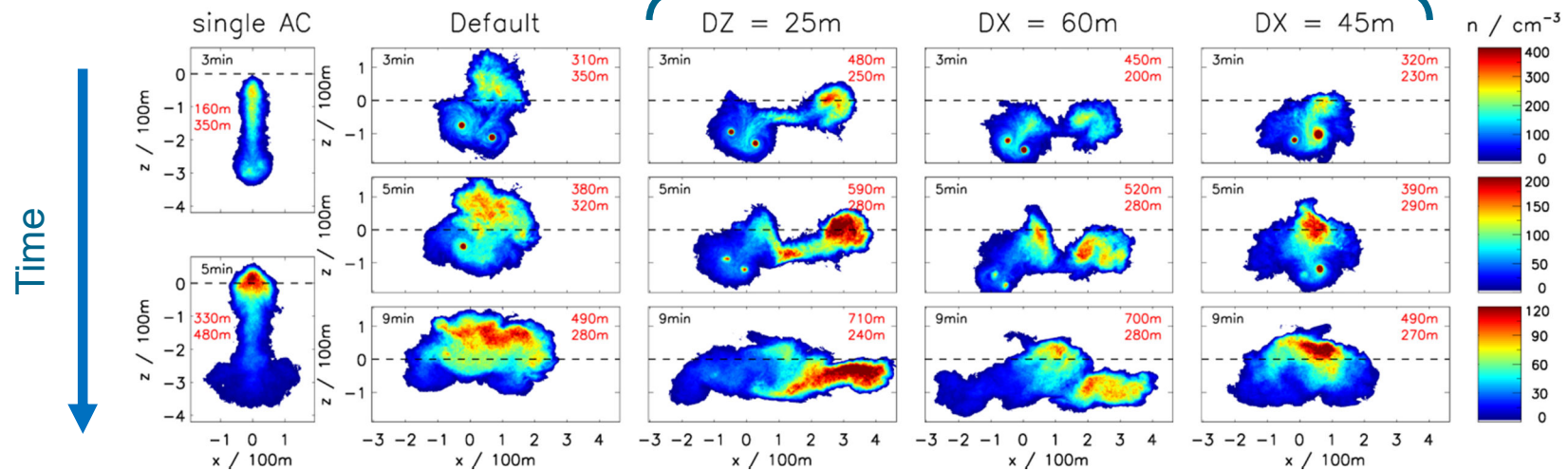
Analysis



Marks et al. 2021  
(mit Dahlmann/Grewe/Matthes/Yamashita/Unterstrasser)

# Contrail Formation during Formation Flight

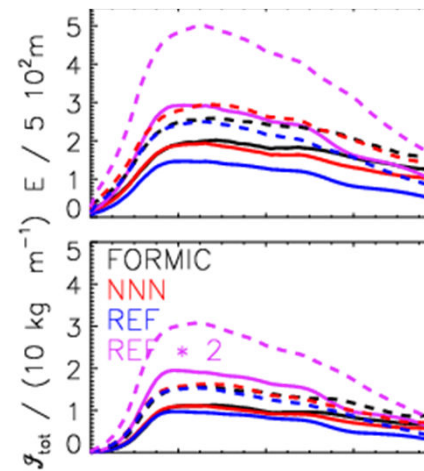
Changes in the position of the 2nd vortex



Large interaction of vortices:

Generally:

- broader contrail
- less ice mass
- lower extinction
- Smaller overall climate impact
  - 20-60%; Mean ~50%

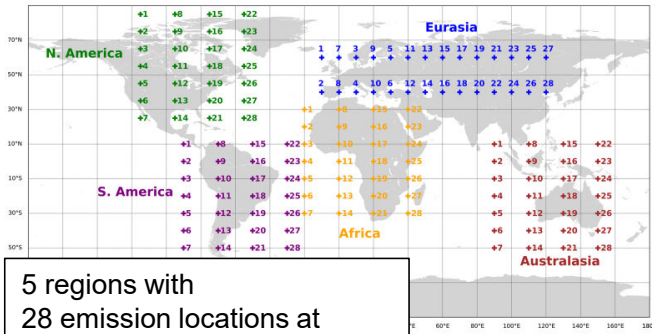


Total ice mass

Total extinction

Unterstrasser, 2020

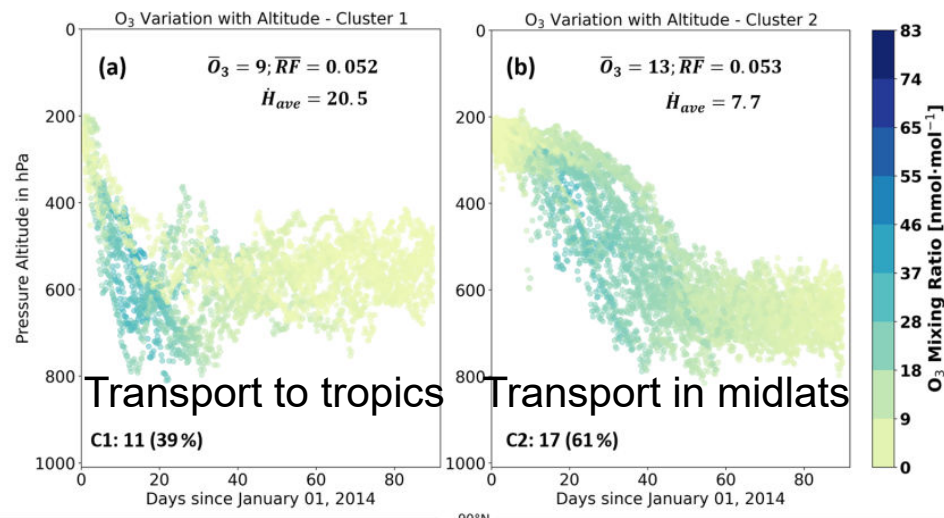
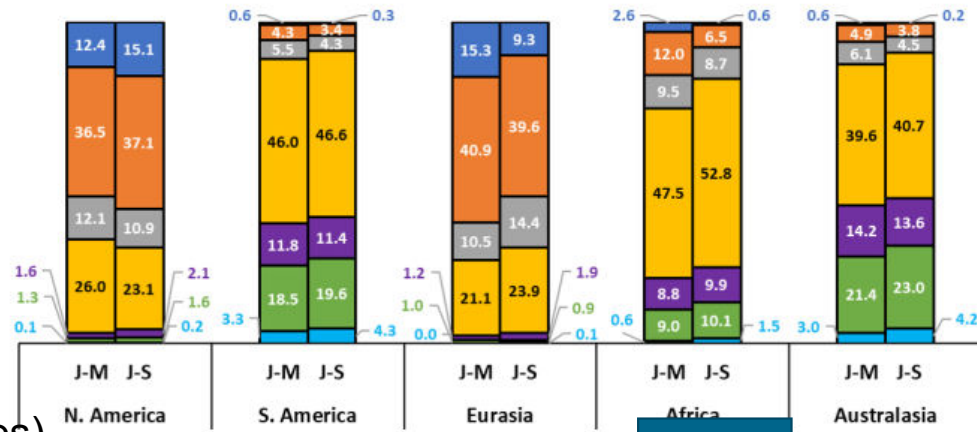
# Analysis of ozone production depending on regions: Set



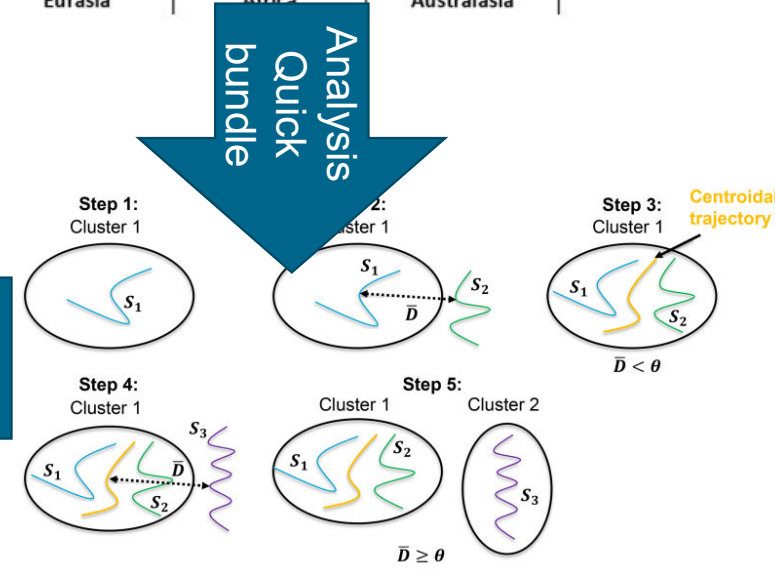
5 regions with 28 emission locations at 5 cruise levels with 50 trajectories 2 seasons (Jan/Jul) = 70,000 trajectories

Run trajectories in EMAC

Method: Grewe et al. (2014)  
Results: Frömming et al. (2021)  
REACT4C (Matthes)

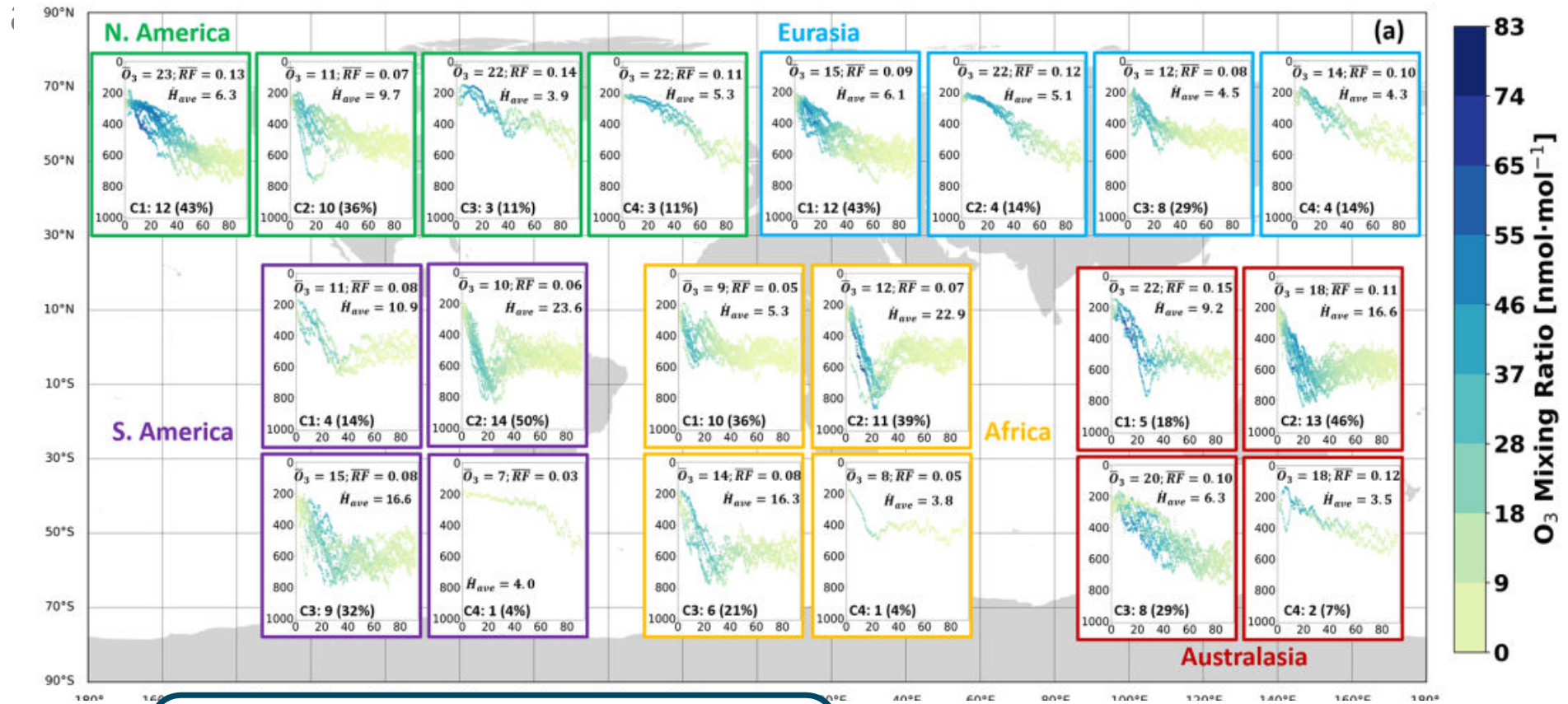


Source receptor Analysis



Maruhashi et al. (2022)  
ACACIA project

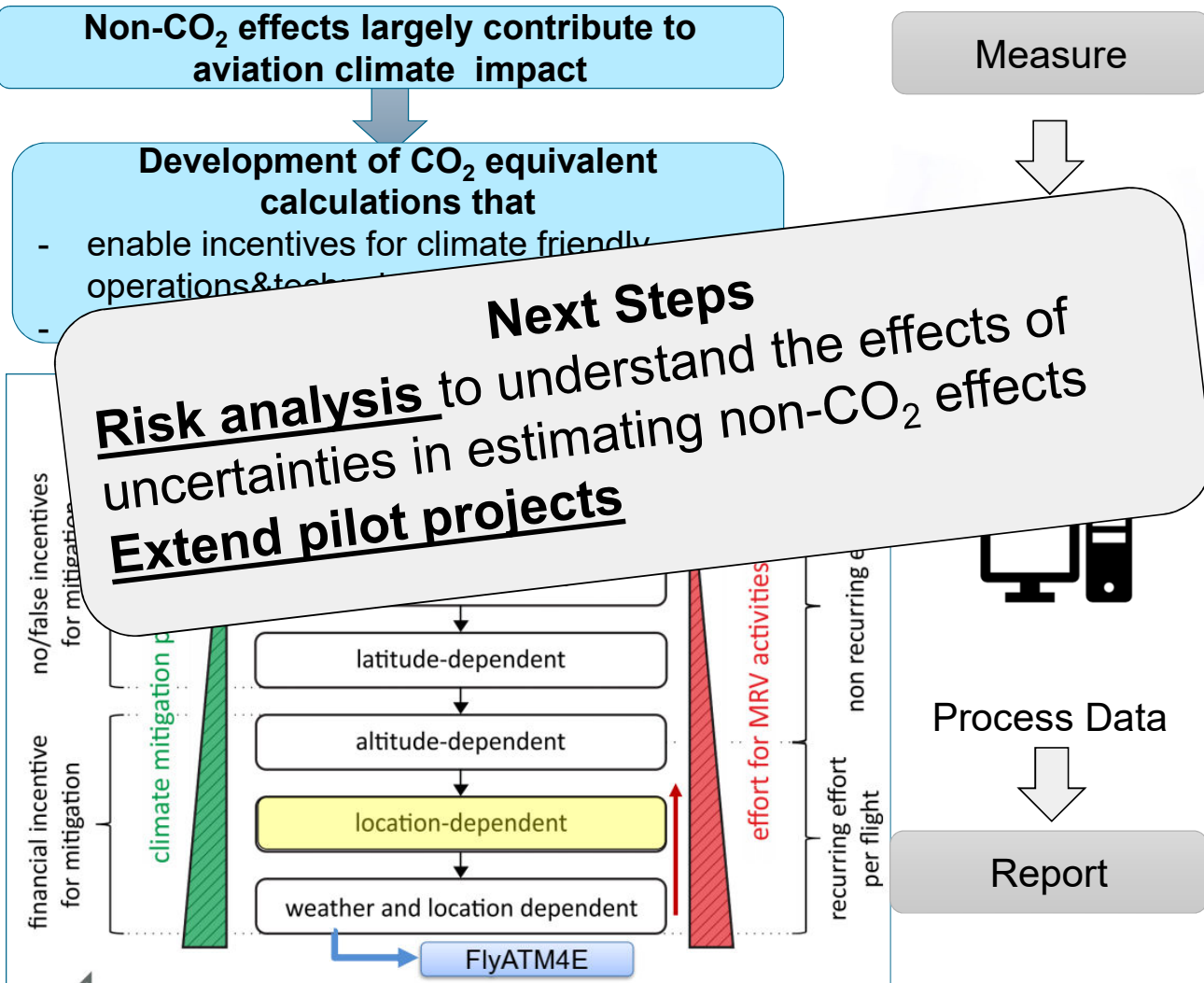
# Analysis of ozone production depending on regions:



Basis for a revision of the  $\text{NO}_x\text{-O}_3$  aCCFs  
Rao et al. (2023)

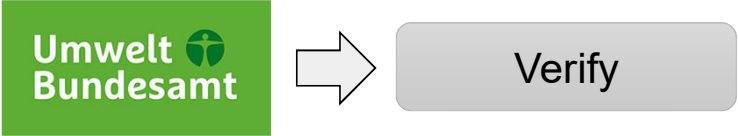
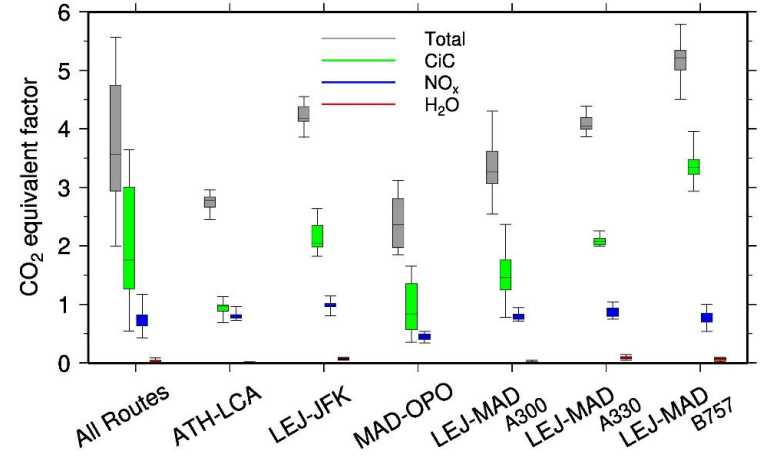
Maruhashi et al. (2022)  
ACACIA project

# Inclusion of non-CO<sub>2</sub> aviation effects in the EU ETS



### Projects with UBA

- Procedure developed in cooperation with 4 DLR institutes PA, LV, AT, FW
- MRV Scheme tested with airline and UBA
  - Reporting feasible
  - Verification feasible
- Effort estimated





# Summary



- Aviation climate impact due to CO<sub>2</sub> and non-CO<sub>2</sub> effects
- Non-CO<sub>2</sub> aviation impacts are important (>50% of total climate impact)
- Scenario analysis showed that aviation will not become „climate-neutral“ without addressing non-CO<sub>2</sub> effects.
- OpenAirClim development is on-going
- Assessment of mitigation options show different effects for CO<sub>2</sub> and non-CO<sub>2</sub> : Formation flight: win-win! Often we ave trade-offs
- NO<sub>x</sub>- Ozone relations gives
  - A better understanding of weather related effects
  - Provides a basis for revision of algorithmic climate change functions
- More work available on: Climate Metrics, single flight analysis, route optimisation ..... See also sigrun Matthes talk